Atty. Dkt. No. 016790-0453

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Dominik GRAU et al.

Title:

ARRANGEMENT AND METHOD FOR THE IDENTIFICATION OF

SUBSTRATES

Appl. No.:

Unassigned

Filing Date: 01/18/2002

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application,



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RELEVANCE OF EACH DOCUMENT

The relevance of the documents is described in the present specification.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Glenn Law

Attorney for Applicant Registration No. 34,371

Date: January 18, 2002

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Page 1 of 1

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE		ATTY. DOCKET NO.		SERIAL NO.			
(MODIFIED)		PATENT AND TRADEMARK OFFICE		016790-0453		Unassigned			
INFO	ORMATI	ON DISCLOSURI	E CITATION	APPLICANT Dominik GRAU et al.					
					FILING DATE		GROUP ART UNIT		
(Use several sheets if necessary)					01/18/2002		Unassigned		
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	A1	5,238,354	Aug. 24, 1993	Volovich		414	779	4	
	A2	5,870,488	Feb. 9, 1999	Rush et al.		382	151	7 Z Z	
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FOREIGN PATENT DOCUMENTS									
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* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.									